

**Reporting Format**

TABLE 1: GENERAL INFORMATION ABOUT THE AIF		
Sl. No	Particulars	Details
1	Name of the AIF*	
2	PAN of the AIF, if any	
3	Registration Number*	
4	Legal Structure of the AIF* [Refer Note 1]	
5	Category and Sub-category of the AIF* [Refer Note 2]	
6	Name of the Sponsor* [Refer Note 3]	
7	PAN of the Sponsor*	
8	Email address of Sponsor*	
9	Contact No.*	
10	Address of Sponsor*	
11	If Sponsor is registered with other Financial Sector Regulator in India then provide nature of Registration, else mention NA* [Refer Note 4]	
12	Type of the entity [Refer Note 5]	
13	Name of the Manager* [Refer Note 3]	
14	PAN of the Manager*	
15	Email address of Manager*	
16	Contact No.*	
17	Address of Manager*	
18	If Manager is registered with other Financial Sector Regulator in India then provide nature of Registration, else mention NA* [Refer Note 4]	
19	Type of the entity [Refer Note 5]	
20	Name of the Trustee* [Refer Note 3]	
21	PAN of Trustee*	
22	Email address of Trustee*	
23	Address of Trustee*	
24	No. of Schemes taken on record by SEBI under the Registration*	
25	No. of Schemes launched under the Registration*	
26	No. of Schemes wound up under the Registration*	
27	Whether the Manager of this AIF has facilitated Co-investment across any of its schemes, wherein negotiation of investment opportunity was carried out by the Manager of AIF for both Fund and Co-investor (Yes/No) (If YES, fill point 29, point 30 & point 31 below)	Yes/No
28	Whether the manager is registered as Co-investment portfolio manager / Portfolio Manager under SEBI(PM) Regulations, 2020 (Yes/No/NA)	
29	If yes, please provide SEBI PMS Registration number *(if yes is input at point no. 24.)	
30	Date of registration as Portfolio Manager	

Note 1: Dropdown list of Trust, LLP, Company, Other Body Corporate

Note 2: Dropdown list of Category-1-Venture Capital Fund, Category-1-SME Fund, Category-1-Social Impact Fund, Category-1-Infrastructure Fund, Category-1-Special Situation Fund, Category-1-CDMDF, Category-1-VCF-Angel Fund, Category-1-VCF-Migrated VCF, Category-2 AIF, Category-3 AIF

Note 3: In case the AIF is set up as an LLP or company, provide details of relevant designated partners or directors. If multiple entries then separate by comma

Note 4: For example - Bank, NBFC, Insurance Company etc. If multiple registrations, then separate each registration with comma

Note 5: Dropdown list of Resident Individual, Non-resident Individual, Domestic Owned and Controlled Resident Non-individual, Foreign owned or Controlled Resident Non-individual, Foreign owned or Controlled Non-Resident Non-individual

TABLE 2: DETAILS OF CUSTODIAN, RTA AND COMPLIANCE OFFICER											
S.No	Name of Scheme*	Name of Custodian* [Refer Note 1]	Whether Custodian is an associate of Sponsor or Manager of AIF?*	If Yes, whether compliant with Regulation 20(11A) of AIF Regulations?*	Name of RTA (appointed for collection of stamp duty)* [Refer Note 2]	Name of Compliance Officer*	Email of Compliance Officer*	Mobile No. of Compliance Officer*	Name of relevant NISM Certification of Compliance Officer	Date of relevant NISM Certification	Date of expiry of NISM Certification
			Yes/No/NA	Yes/No/NA							

Note 1: In case Custodian has not been appointed due to no investments by the scheme, then mention - *No Investments made*

Note 2: In case RTA has not been appointed since there are no investors in the scheme, then mention - *No investors in scheme*

TABLE 3: DETAILS OF KEY MANAGEMENT PERSONNEL DURING THE REPORTING PERIOD										
S.No	Name of Scheme*	Name of Key Management Personnel*	Designation (incl. association with AIF)*	PAN*	DIN/DPIN, if any	Date of Appointment*	Date of Resignation, if any	Name of relevant NISM Certification	Date of relevant NISM Certification	Date of expiry of NISM Certification

Note 1: Details of Key Management Personnel as per SEBI (AIF) Regulations, 2012 and Circulars issued thereunder is to be provided

Note 2: Date of Appointment shall be the date of appointment in the scheme and not with investment manager. The date must be on or after date when PPM is taken on record.

**GENERAL INFORMATION OF SCHEMES**

TABLE 4: GENERAL DETAILS OF SCHEMES													
S.No	Name of Scheme* (A)	PAN of Scheme, if any (B)	Type of Scheme* (C) [Refer Note 1]	Status of Scheme* (D) [Refer Note 2]	Please clarify, if chosen 'Others' in Column (E)* €	Whether units of the scheme have been dematerialized*? (F)	If Yes, then provide Issuer ID of scheme (G)	Whether scheme is AI Only Scheme?* (H)	Whether scheme is a Large Value Fund for Accredited Investors?* (I)	Whether the Manager facilitated Co-investment in the scheme? (J) [Refer Note 3]	If Yes, then who was the Co-investor (K) [Refer Note 4]	Whether the manager is registered under SEBI(PM) Regulations, 2020 (L)	If Yes, then provide SEBI PMS Registration Number (M)
						Yes/No/NA		Yes/No	Yes/No	Yes/No. (Note* - If scheme type selected as co-investment scheme, then field disabled)		Yes/No/NA	

Note 1: Dropdown list of 'Open ended' and 'Closed ended'

Note 2: Dropdown list of 'Scheme with no investors and investments', 'Active scheme within Original tenure/extended tenure', 'Scheme in Liquidation period', 'Scheme in Dissolution Period', 'Liquidation Scheme as per Regulation 29(A) of AIF Regulations', 'Scheme completed final distribution during reporting period WITHOUT any amount held back in the scheme's account', 'Scheme completed final distribution WITH some amount held back in the scheme's account', 'Co-investment scheme 'Others'

- If chosen 'Scheme with no investors and investments' then Tables 7 to 29 will be locked i.e., no data entry will be allowed
- Schemes that have completed final distribution but held back some amount shall continue reporting till scheme account reflects zero balance
- Schemes that have completed final distribution during the reporting period without holding back any amount need not report from subsequent reporting period
- If chosen 'Co-investment' scheme, then field 'Whether the Manager facilitated Co-investment in the scheme?' will be disabled.
- If chosen 'Others', then provide clarification in next column

Note 3: Wherein negotiation of investment opportunity was carried out by the Manager for both the scheme and the Co-investor

Note 4: Dropdown list of Manager, Other Investor in the scheme, Both

TABLE 5: BRIEF DETAILS OF LAUNCH OF SCHEMES						
S.No	Name of Scheme* (A)	Date of filing final draft PPM with SEBI (B) [Refer Note 1]	Date of SEBI Communication for taking the PPM on record (C)	Date of Initial Close* (D) [Refer Note 2]	Total Commitment received (Corpus) as on Initial Close (Rs. Cr) (E) [Refer Note 3]	Date of Final Close* (F) [Refer Note 4]

Note 1: Date of filing final draft PPM with SEBI shall be date of uploading final draft PPM with SEBI

Note 2: Date of initial close shall be date of first close as per SEBI Master Circular dated May 07, 2024. Enter 'Null' if initial close not declared.

Note 3: Total Commitment shall be gross commitment received as on date of initial close.

Note 4: Enter 'Null' in case of open ended schemes or if final close not declared

TABLE 6: DETAILS OF TENURE OF SCHEMES													
S.No	Name of Scheme (A)	Original Tenure (not including extension)* (B) [Refer Note 1]	End date of original tenure of Scheme* (C) [Refer Note 2]	Whether the tenure has been extended* (D)	If Yes, then provide % Investors by value that approved extension* (E)	If answer to (D) is Yes, then provide End date of Extended term* (F)	If Liquidation period has expired, then confirm whether all assets are liquidated and proceeds distributed to investors?* (G)	If Yes, then provide date of final distribution* (H)	Amount held back in the scheme, if any (Rs. Cr)* (I) [Refer Note 4]	If answer to Column (G) is No, then confirm whether scheme has entered Dissolution Period* (J) [Refer Notes 5]	If answer to Column (G) is No, then confirm whether required investor approval received for in-specie distribution* (K) [Refer Note 6]	If answer to both columns (J) and (K) is No, then confirm whether mandatory in-specie distribution has been done* (L) [Refer Note 7]	
				Yes/No/NA			Yes/No/NA			Yes/No/NA	Yes/No/NA	Yes/No/NA	

Note 1: Tenure to be in number of years. Open ended scheme may enter 'Null'

Note 2: Open ended schemes may enter 'Null'

Note 3: If No, then provide details in Tables

Note 4: Mandatory field if 'Status of Scheme' selected in Table 4 is 'Scheme completed final distribution WITH some amount held back in the scheme's account'

Note 5: If Yes, then details have to be mandatorily provided in Table 7: DETAILS OF SCHEMES IN DISSOLUTION PERIOD.

Tables 8, and 9 will be locked and no entry will be allowed in these tables.

Note 6: If Yes, then details have to be mandatorily provided in Table 8: DETAILS OF IN-SPECIE DISTRIBUTION (INVESTOR OPTED).

Tables 7 and 9 will be locked and no entry will be allowed in these tables.

Note 7: If Yes, then details have to be mandatorily provided in Table 9: DETAILS OF MANDATORY IN-SPECIE DISTRIBUTION.

Tables 7 and 8 will be locked and no entry will be allowed in these tables.

In case of Angel Fund the total tenure of the Fund to be specified and not each scheme.

TABLE 7: DETAILS OF SCHEMES IN DISSOLUTION PERIOD													
S.No	Name of Scheme* (A)	% Investors by value that approved entering dissolution period* (B)	Date of intimation to SEBI of investor approval regarding entering dissolution period* (C)	Whether bids received for minimum of 25% of value of unliquidated investments* (D)	If Yes, then whether exit was provided to dissenting investors* (E)	Whether bidder or related parties were provided exit out of the bid* (F)	Cost of Unliquidated investments that entered Dissolution Period* (G)	Value of Unliquidated investments reported to Performance Benchmarking Agencies* (H)	% Management Fee charged during Dissolution Period* (I)	Tenure of Dissolution Period* (J) [Refer Note 1]	End date of Dissolution Period* (K)	If Dissolution period has expired, then confirm whether all assets are liquidated and proceeds distributed to investors?* (L)	If No, then confirm whether mandatory in-specie distribution has been done* (M) [Refer Note 2]
				Yes/No	Yes/No/NA	Yes/No/NA						Yes/No/NA	Yes/No/NA

This Table will be open for entry only for that scheme for which answer to Column J in Table 6 is Yes. Otherwise, this table will be locked

Note 1: Tenure of Dissolution period shall be provided in number of years

Note 2: If Yes, then details have to be mandatorily provided in Table 9: DETAILS OF MANDATORY IN-SPECIE DISTRIBUTION.

TABLE 8: DETAILS OF IN-SPECIE DISTRIBUTION (INVESTOR OPTED)									
S.No	Name of Scheme* (A)	% Investors by value that approved in-specie distribution* (B)	Whether bids received for minimum of 25% of value of unliquidated investments* (C)	If Yes, then whether exit was provided to dissenting investors* (D)	Whether bidder or related parties were provided exit out of the bid* (E)	Cost of Unliquidated investments that were distributed in-specie* (F)	Value of Unliquidated investments reported to Performance Benchmarking Agencies* (G)	Date of final in-specie distribution* (H)	Date of winding up of Original Scheme* (I)
			Yes/No	Yes/No/NA	Yes/No/NA				

This Table will be open for entry only for that scheme for which answer to Column K in Table 6 is Yes. Otherwise, this table will be locked

TABLE 9: DETAILS OF MANDATORY IN-SPECIE DISTRIBUTION					
S.No	Name of Scheme* (A)	Cost of Unliquidated investments that were mandatorily distributed in-specie* (B)	Value of Unliquidated investments reported to Performance Benchmarking Agencies* (C)	Date of final in-specie distribution* (D)	Date of winding up of Scheme* (E)

This Table will be open for entry only for that scheme for which answer to Column L in Table 6 OR Column M in Table 7 is Yes. Otherwise, this table will be locked

**Details of Capital Raised**

**Table 10: Details of Capital raised and invested (Rs. Cr)**

S.No	Name of Scheme* (A)	Total written Commitment signed as at the end of reporting period (Corpus)* (B) [Refer Note 1]	Gross Cumulative Funds raised as at the end of reporting period* (C) [Refer Note 2]	Cumulative Re-investment drawdown made as at the end of reporting period* (D)	Cumulative Portfolio Investments made as at the end of reporting period* (E) [Refer Note 3]	Temporary investments made as at the end of reporting period* (F) [Refer Note 4]	Cash in hand as at the end of reporting period* (G) [Refer Note 5]	Cumulative Cost of Divestment made as at the end of reporting period* (H) [Refer Note 6]	Cumulative Principal/ Capital Distributions made to the investors as at the end of reporting period* (H) [Refer Note 7]

**Note 1 :** Total Commitment shall be gross commitment since inception minus commitment exited due to forfeiture/ reduction in commitment. However, transfers, transmissions, redemptions and principal repayments (if any) should not be considered. For open ended schemes, gross commitment/contribution is to be reported.

**Note 2 :** Gross Cumulative Funds raised as at the end of reporting period means all contributions/drawdowns received corresponding to the gross commitment reported in column (B) Such funds raised shall not include reinvestment drawdown.

**Note 3 :** Cumulative Portfolio Investments made means all investments and reinvestments made since inception at cost including value of corporate action transactions like rights, demerger, etc excluding temporary investments.

**Note 4 :** Report cost of temporary investments held as at the end of the reporting period.

**Note 5 :** Cash balance as the end of the reporting period = (Bank Balance - advance against sale of securities - Unit Application Pending Allotment + Contract on Sale of Investment + Contract for Purchase of investment).

**Note 6 :** Cumulative Cost of Divestment made means all divestments made since inception at cost including value of corporate action transactions like rights, demerger, etc excluding temporary investments

**Note 7 :** Cumulative Principal Distributions (including loss distributed towards capital, if any) represents only principal/capital distributions made to the investors since inception of the fund for all active as well as inactive investors.

**Table 11: Calculation of Investable Funds as at the end of reporting period (Rs. Cr)**

Sl. No	Name of Scheme* (A)	Total written Commitment signed as at the end of reporting period (Corpus)* (B) [Refer Note 1]	Estimated Expenses for the tenure of the fund* (C) [Refer Notes 2 and 3]	Investable Funds* (D) = (B-C)	In case of Listed Equity Investment, whether concentration limits are based on NAV, as per PPM ? (to be filled by Category 3 AIFs)* (E)	If Yes, provide NAV of Scheme at end of reporting period (Rs. Cr) (F)
					Yes/No/NA	

**Note 1:** Total Commitment shall be gross commitment since inception minus commitment exited due to forfeiture/ reduction in commitment. However, transfers, transmissions, redemptions and principal repayments (if any) should not be considered. For open ended schemes, gross commitment/contribution is to be reported.

**Note 2:** Estimated expenses should be calculated in line with the information on expenses provided in the PPM. Regulatory expenses like GST and taxes may be included / excluded in the expenses in line with the PPM. In case of unexpected additional expenses, the amount may be appropriately revised in the subsequent report(s). For CAT-3 Schemes, income tax expense shall not be included.

**Note 3:** In case of open ended schemes, estimated expenses will be computed for the period of one year basis total commitment/contribution as at end of reporting period. In all other cases, tenure of scheme means tenure as mentioned in Regulation 2(1)(p) of SEBI (AIF) Regulations, 2012

**Details of Investments**

Table 12: Details of Holdings as at the end of reporting reporting period acquired																						
S.No	Name of Scheme* (A)	Whether the Scheme has any Portfolio Holdings as at the end of reporting period* (B) [Refer Note 1]	Name of Investee Company (C) [Refer Note 2]	PAN of Investee Company, if available (D)	Type of Investee Company (E) [Refer Note 3]	Whether its an offshore investment? (F)	Type of Security (G) [Refer Note 4]	Provide details, if Type of Security chosen is Others (Listed) or Others (Unlisted) (H)	Ratings of Listed / Proposed to be listed Debt Security (I)	Is investment held in demat mode (J)	ISIN, if available (K)	SEBI Registration Number of investee Company (if investee company is an AIF) (L)	Whether Investee company is an Associate (M) [Refer Note 5]	Whether it is managed or sponsored by AIF's manager or associates (If investee company is an AIF) (N)	Sector (O) [Refer Note 6]	Cost of Holding as at the end of half-year (for all investments including offshore) (Rs. Cr) (P)	Amount invested (for offshore investment only) in \$Mn (Q)	Latest value of Holding in Rs. Cr (R) [Refer Note 7]	Date of latest valuation (S)	% Deviation in value of per unit security between 2 consecutive valuations (T)	% Deviation in value of per unit security in a Financial Year (U)	Date of reporting to investors of reasons for deviation (V) [Refer Note 8]
		Yes/No			< Dropdown List >	Yes/No	< Dropdown List >		< Dropdown List >	(Yes/No/NA)			Yes/No	Yes/No/NA								

Note 1: If selected 'Yes', only then will the remaining columns open for entry and become mandatory, otherwise they will remain locked. For the purpose of this table, all categories of AIFs shall report information on their holdings as at the end of reporting period excl. temporary investments and derivatives. Details of Temporary Investments to be provided in Table 14 titled 'Details of Temporary Investments'

Note 2: Where a scheme has invested in different types of securities and different ISIN of an investee company, information is to be provided separately in each row for each type of security and each ISIN.

Note 3: Type of Investee Company - Dropdown list (with multiple selections allowed) of Company, LLP, AIF, REIT, InvIT, Mutual Fund (MF), Venture Capital Undertaking, Startup, Trust set up by an Asset Reconstruction Company (ARC), ARC, Micro enterprise, Small enterprise, Medium enterprise, SPV, Social Enterprise, Social Venture, Public Charitable trusts, societies registered for charitable purposes or for promotion of science, literature or fine arts, Section 8 companies, Microfinance institutions, Others, NA

Note 4: Type of Security - Dropdown list of Listed/Proposed to be listed Equity, Unlisted Equity/Equity Linked, Listed/Proposed to be listed Debt, Unlisted Debt, Listed/Proposed to be listed equity on SME exchange, Others (Listed), Others (Unlisted), units of Mutual Funds, units of Cat-1 AIFs, units of Cat-2 AIFs, units of Cat-3 AIFs, REITs/Invits, G-Sec, LLP Interest, Security Receipts, Securitised Debt, Grants, Special Situation asset as provided in Reg 191 2(a), (c)

Note 5: Refer the definition of Associate provided in SEBI (AIF) Regulations, 2012

Note 6: Sector- Dropdown - List of sectors is given at the end of the report

Note 7: Valuation considered for calculation of Scheme's latest NAV is to be provided. For listed securities, valuation based on latest available closing prices may be provided.

Note 8: Refer Clause 22.2.1 of SEBI Master Circular for AIFs dated May 07, 2024. If Not applicable, then report 'Null'

Note 9: For the purpose of this table, in case of Angel Funds, information pertaining to each investee company is to be provided in a separate row. However, if the Angel Fund has invested in different securities of the same investee company then the same may be provided in different rows. In case where the scheme name and investee company are same, the names in both 'Name of Scheme' and 'Name of Investee Company' can be same, as per term sheet agreed with the Investor.

Table 13: Details of Investments not in demat form					
S.No	Name of Scheme* (A)	Whether any investments which are mandatory to be in demat are in physical form (B)	If yes, Name of security (C)	Date of acquisition (D)	Amount of investment in Rs. Cr. (E)
		Yes/No			

If answer to Column B is yes, then remaining cells will be active

Table 14: Details of Temporary Investments						
S.No	Name of Scheme* (A)	Whether the Scheme has any Temporary Investments as at the end of reporting period* (B) [Refer Note 1]	Name of Investee Company (C) [Refer Note 2]	Cost of Holding as at the end of the reporting period (Rs. Cr) (D) [Refer Note 3]	Type of Security (E) [Refer Note 4]	Provide details, if Type of Security chosen is 'Others' (F)
		Yes/No			<Dropdown List>	

Note 1: If selected 'Yes', only then will the remaining columns open for entry and become mandatory, otherwise they will remain locked.

Note 2: Each Mutual Fund Scheme, Tbill, Gsec etc. should be stated separately.

Note 3: Cost of temporary investments held as at the end of the reporting period is to be provided

Note 4: Dropdown list of Liquid Mutual Fund, Other than Liquid Mutual Funds, Bank deposits, T-bills, TREPS, CPs, CDs and Others

Table 15: Transactions on RFQ (Request for Quote) platform in each month of the reporting reporting period						
Sl. No	Name of Scheme* (A)	Whether the scheme undertook any secondary market trades in Corporate bonds during the reporting period* (B) [Refer Note 1]	Month (C) [Refer Note 2]	Value of total secondary market trades in Corporate bonds for the particular month (Rs. Cr) (D)	Value of such trades undertaken by placing/seeking quotes on RFQ platform (Rs. Cr) (E)	% of total secondary market trades in Corporate bonds by value in the particular month by placing/seeking quotes on RFQ platform(F=E/D*100)
		Yes/No				

Note 1: If selected 'Yes', only then will the remaining columns open for entry and become mandatory, otherwise they will remain locked.

Note 2: Month to contain dropdown from January to December



### Details of Investor Consent

Table 19: Details of Consent received from Investors as a % by value of investment (since inception)										
S.No	Name of Scheme*	Whether Scheme has taken any investor consent during the reporting period* (B) [Refer Note 1]	Proposal related to [Refer Note 3]	Proposal in brief	Type of responses received w.r.t proposal [Refer Note 2]			Date of finalization of responses	Proposal approved/ rejected	Investor Consent Method (Refer Note 4)
					Positive (%)	Negative (%)	No reply (%)			
		Yes/No							Approved/Rejected	

Note 1: If selected 'Yes', only then will the remaining columns open for entry and become mandatory, otherwise they will remain locked. Details in this table are to be provided for only those proposals that require investor consent as per SEBI (AIF) Regulations, 2012 and Circulars issued thereunder. Only those proposals which are either approved or rejected during the reporting period are to be reported.

Note 2: % Investors by value of their investment in scheme to be reported

Note 3: Dropdown list of,

2(1)(ac) - Conversion of AIF / Scheme of AIF to Accredited Only Fund

2(1)(pa) - Conversion of AIF / Scheme of AIF to large value fund for accredited investors

Reg 13(5)- Extension of Tenure

Reg 15(1)(e)-Investment in associates or units of AIFs managed or sponsored by its manager, sponsor or associates of its manager or sponsor

Reg 15(1)(ea)-Buyin or selling investments from/to associates or AIFs managed or sponsored by its manager, sponsor or associates of its manager or sponsor or investor with more than 50% commitment to scheme corpus

Reg 19AF(2)-Extension of Tenure of Migrated VCF

Reg 19Q(11) - In-specie Distribution of CDMDf

Reg 20(10)-Appointment of external members to IC

Reg 23(2)-Frequency of valuation enhanced to once a year

Reg 29(1)(c) or Reg 29(2)(b) - Wind up the scheme

Reg 29(9)- In-specie distribution

Reg 29(9)- Dissolution Period

Others

Note 4: Positive Consent

Deemed Consent

Present & Voting

**Details of Borrowing by AIF**

Table 20: Details of borrowing by the AIF (Category I & II)													
S.No	Name of Scheme* (A)	Whether the scheme has borrowed during the reporting period or has outstanding repayments from previous reporting periods (B) [Refer Note 2]	Purpose (C) [Refer Note 3]	Amount outstanding as at the beginning of reporting period (Rs. Cr.) (D)	Date of borrowing (E)	Investable funds as on date of borrowing (Rs. Cr.) (F)	Amount borrowed during the reporting period (Rs. Cr.) (G)	Proposed investment in the Investee Company (Rs. Cr.) (H) [Refer Note 4]	Cost of Borrowing charged to (I) [Refer Notes 4 and 5]	Commitment pending to be drawn down from investors other than delaying/ defaulting investors (Rs. Cr.) (J) [Refer Note 4]	Date of repayment (K)	Amount repaid (Rs. Cr.) (L)	Amount outstanding as at the end of reporting period (Rs. Cr.) (M) = (D + G - L)
		Yes/No											

Note 1: The details of borrowing by Category I and Category II AIFs is to be reported. Each instance of borrowing is to be provided in a separate row.

Note 2: If selected 'Yes', only then will the remaining columns open for entry and become mandatory, otherwise they will remain locked.

Note 3: Dropdown list: for meeting temporary shortfall in drawdown, for temporary funding requirements, for meeting operating expenses, others

Note 4: Is Mandatory to provide only if 'Purpose' chosen is 'for meeting temporary shortfall in drawdown'

Note 5: Dropdown list: Delaying/Defaulting Investors, Scheme, Manager, Others

**Others**

Table 21: Details of Latest Valuation (as per Regulation 23 of SEBI (AIF) Regulations, 2012)												
S.No	Name of Scheme*	Whether the Scheme had any Holdings during the reporting period* [Refer Note 1]	Frequency of valuation [Refer Note 2]	Valuation conducted by (for Category I & II AIFs) [Refer Note 3]	For Category III AIF, Valuation of listed equity securities is conducted by [Refer Note 4]	For Category III AIF, Valuation of unlisted securities and listed debt securities conducted by [Refer Note 4]	Latest Valuation of Scheme (in Rs. Cr) [Refer Note 5]	Date of latest Valuation	Name of Valuer(s)	Whether any of the Valuer is an associate of Manager or Sponsor or Trustee of the AIF?	Registration status of Independent Valuer [Refer Note 6]	Whether latest required information submitted to Performance Benchmarking Agencies? [Refer Note 7]
		Yes/No								Yes/No		

- Note 1: If selected 'Yes', only then will the remaining columns open for entry and become mandatory, otherwise they will remain locked. Details of latest valuations of each scheme (irrespective of whether its investments are in listed, unlisted, temporary investments, etc.) are to be provided in this table for Category I/II/III AIFs as per Regulation 23 of SEBI (AIF) Regulations, 2012
- Note 2: Dropdown list of Monthly, reporting Quarterly, Half-yearly, Annually, Others
- Note 3: Dropdown list of Independent valuer, Internal Valuer, Others
- Note 4: Will be open for entry and mandatory only if it is a Category III AIF and if the particular scheme had any holdings during the reporting period, else will remain locked. Dropdown list of Fund Management Team, Internal Valuer but independent from fund management function, Independent Valuer
- Note 5: Total valuation of the portfolio should be reported as on date of latest valuation done as per Regulation 23 of SEBI (AIF) Regulations, 2012
- Note 6: Dropdown list of 1. Registered with IBBI and a Member of ICAI/ICSI/ICMAI/CFA Charter from CFA Institute, 2. Not registered or member of any of the above 3. Not Applicable
- Note 7: In terms of Chapter 16 of SEBI Master Circular for AIFs dated May 07, 2024

Table 22: Details of Distribution fee for investors on-boarded after May 01, 2023 (Absolute Values)							
S.No	Name of Scheme* (A)	Management Fee per annum (Rs. Cr) (B)	Tenure of Scheme (In Years) (C) [Refer Note 2]	Total Management fees charged over the tenure (D) = (BxC)	Total Distribution fee charged over the tenure (E)	Upfront distribution commission paid (F) [Refer Note 3]	Distribution commission paid on trail basis for the tenure of fund (G) [Refer Note 4]

- Note 1: Details provided in this table should be cumulative data from 01st May 2023
- Note 2: Open ended scheme may enter 'Null'. In case of open ended schemes, fees/expenses will be computed for the period of one year basis total commitment/contribution as at end of reporting period. In all other cases, tenure of scheme means tenure as defined in SEBI (AIF) Regulations, 2012
- Note 3: Upfront distribution commission paid includes upfront distribution fees, placement fees, setup fees or any other fees paid upfront to the distributor.
- Note 4: Trail distribution commission paid includes trail distribution fees or any other fees paid to the distributor on trail basis.
- Note 5: In case of Angel Funds, management fees may be provided scheme-wise as per fund documents/term sheets

Table 23: Details of warehoused investments of the AIF											
Sl. No	Name of Scheme*	Whether the scheme has any warehoused investments during the reporting period?* [Refer Note 1]	Details of Final Close of Scheme		Name of Warehoused investment, not yet transferred to the Scheme	Type of investment [Refer Note 3]	Name of Warehousing Entity	Relationship of Warehousing Entity with AIF/ Sponsor/ Manager	Date of warehousing of investment	Value of Warehoused Investment (Rs. Cr)	Whether disclosed to all the investors
			Whether final close has been announced	If yes, date of final close							
		Yes/No	Yes/No								Yes/No

- Note 1: If selected 'Yes', only then will the remaining columns open for entry and become mandatory, otherwise they will remain locked.
- Note 2: All the information provided in the table shall be as of last day of the reporting period, i.e. cumulative data. Enter details of each warehoused investment by a scheme in separate rows
- Note 3: For type of investment, mention the type of security (equity/ debt/ Security Receipts, etc.) through which investment is done

Table 24: Reporting of Suspension of redemptions by Open ended Category III AIFs							
Sl. No	Name of Scheme	Whether the scheme has suspended redemptions? [Refer Note 2]	Start date of suspension period	End date of suspension period	Date of intimation to Investors		Remarks, if any
					About suspension of redemption	About resumption of normal operations	
		Yes/No					

Note 1: Will be open for entry only if the AIF is a Category III AIF and the scheme is an Open ended scheme, else the table will be locked for entry

Note 2: If selected 'Yes', only then will the remaining columns open for entry and become mandatory, otherwise they will remain locked.

Note 3: "Suspension Period" is the period for which redemption is suspended by the Manager of AIF

Table 25: Details of Non-compliances reported by Compliance Officer to SEBI during the period					
S.No	Name of Scheme*	Whether Compliance Officer reported any non-compliance to SEBI during the reporting period? [Refer Note 1]	Non-compliance in brief	Date of observing such non-compliance	Date of reporting the non-compliance to SEBI
		Yes/No			

Note 1: If selected 'Yes', only then will the remaining columns open for entry and become mandatory, otherwise they will remain locked.

Table 26: Details w.r.t Latest Compliance Test Report (CTR)							
S.No	Name of Scheme*	Date of submission of CTR to Trustee and/or Sponsor*	Whether there are any observations/comments on the CTR by the Trustee/Sponsor* [Refer Note 2]	Date of intimation of observations/comments by Trustee/sponsor to manager	Date of reply filed by the manager to Trustee/Sponsor	Brief description of observations/comments	Any deviation of AIF Regulations or circulars issued thereunder observed
			(Yes/No/NA)				(Yes/No/NA)

Note 1: Details in this table are to be provided at scheme level, irrespective of repetition of certain exceptions which may be common across all schemes

Note 2: If selected 'Yes', only then will the remaining columns open for entry and become mandatory, otherwise they will remain locked.

Table 27: Details of Complaints						
S.No	Name of Scheme*	Whether there are any complaints pending as at the end of reporting period?* [Refer Note 1]	No.of complaints pending at the end of reporting		No.of complaints pending for more than 3	
			Received through SCORES	Received directly by AIF/Manager	Received through SCORES	Received directly by AIF/Manager
		Yes/No				

Note 1: If selected 'Yes', only then will the remaining columns open for entry and become mandatory, otherwise they will remain locked.

**DATA PERTAINING TO LEVERAGE UNDERTAKEN BY CATEGORY III AIFs**

Table 28: Calculation of Leverage Ratio of the scheme for all trading days during the reporting period									
Sl. No	Name of the Scheme* (A)	Whether the scheme has undertaken leverage during the reporting period?* (B) [Refer Note 2]	Date (dd/mm/yyyy) (C)	Net Asset Value as on (B) (in Rs. Cr) (D)	Gross Long Positions (in Rs. Cr) (E)	Gross Short Positions (in Rs. Cr) (F)	Gross Leverage (No. of times) {G = (E)+(F)/(D)}	Exposure after offsetting transactions for hedging and portfolio rebalancing (in Rs. Cr) (H)	Leverage ratio after offsetting transactions for hedging and portfolio rebalancing (No. of times) {I = (H)/(D)}
		Yes/No							

Note 1: Table is open for entry only for Category III AIFs

Note 2: If selected 'Yes', only then will the remaining columns open for entry and become mandatory, otherwise they will remain locked.

Note 3: Leverage Ratio is to be calculated as per Master Circular for AIFs dated May 07, 2024

Table 29: Details of reporting and rectification of breach in leverage limit						
Sl.No	Name of the Scheme*	Whether the scheme breached leverage limit during the reporting period?* (B) [Refer Note 1]	Date of breach of leverage limit	Date of reporting breach to Custodian	Date of reporting breach to investors	Date on which breach in leverage limit was rectified

Note 1: If selected 'Yes', only then will the remaining columns open for entry and become mandatory, otherwise they will remain locked.

### Sector List

S.No	Sector Name
1	Agriculture & Allied activities
2	Aerospace & Defense
3	Air freight & logistics
4	Airways
5	Auto Components
6	Automobiles
7	Banks
8	Beverages
9	Biotechnology
10	BPOs
11	Building Products
12	Capital Markets
13	Cement
14	Chemicals
15	Commercial services & Supplies
16	Communications Equipment
17	Construction & Engineering
18	Construction materials
19	Consumer Durables
20	Consumer Finance
21	Containers & Packaging
22	Dairy Industry
23	Defence
24	Derivatives
25	Distributors
26	Diversified Consumer Services
27	Diversified Financial Services
28	Diversified Telecommunication Services
29	E-Commerce
30	Education & Training
31	Electric Utilities
32	Electrical Equipment
33	Electronic Equipment, Instruments & Components
34	Energy Equipment & Services
35	Engineering & Capital Goods
36	Entertainment
37	Equity Real Estate Investment Trusts (REITs)
38	Ferrous Metals
39	Fertilisers
40	Financial Services
41	FMCG
42	Food & Staples Retailing
43	Food Products
44	Gas Utilities

### Sector List

S.No	Sector Name
45	Gems & Jewellery
46	Hardware
47	Health Care Equipment & Supplies
48	Health Care Providers & Services
49	Health Care Technology
50	Hotels, Restaurants & Leisure
51	Household Durables
52	Household Products
53	Independent Power and Renewable Electricity Producers
54	Industrial Parks
55	Industrial Products
56	Insurance
57	Interactive Media & Services
58	Internet & Direct Marketing Retail
59	IT/ ITes
60	Leisure Products
61	Life Sciences Tools & Services
62	Logistics
63	Machinery
64	Manufacturing
65	Marine
66	Media & Entertainment
67	Metallurgy
68	Metals & Mining
69	Mortgage Real Estate Investment Trusts (REITs)
70	Multiline Retail
71	Multi-Utilities
72	Nanotechnology
73	NBFCs
74	Non - Ferrous Metals
75	Oil, Gas & Consumable Fuels
76	Packaging & Labelling
77	Paper & Forest Products
78	Personal Products
79	Pesticides
80	Petroleum Products
81	Pharmaceuticals
82	Poultry Industry
83	Power
84	Production of Bio-Fuels
85	Professional Services
86	Railways
87	Real Estate

### Sector List

S.No	Sector Name
88	Real Estate Management & Development
89	Renewable energy
90	Research & Development
91	Retail
92	Road Transport
93	Robotics
94	Science & Technology
95	Seed R&D
96	Semiconductors & Semiconductor Equipment
97	Shipping & Ports
98	Software
99	Specialty Retail
100	Technology Hardware, Storage & Peripherals
101	Telecom - Equipment and Accessories
102	Telecom - Services
103	Textiles, Apparel & Luxury Goods
104	Thriffs & Mortgage Finance
105	Tobacco
106	Tourism & Hospitality
107	Trading Companies & Distributors
108	Transportation infrastructure
109	Urban Infrastructure
110	Water Transport
111	Water Utilities
112	Wireless Telecommunication Services
113	Others